



## Planner Securities has an important announcement about policy updates effective the 1st Quarter of 2017

### All active trading accounts have been upgraded to our new trading platform, Alpha Plan

Alpha Plan is one of the most advanced cloud trading platforms on the market. Our platform delivers a personalized trading experience on-the-go from any browser and on any device. If you have not already logged in, please [contact us](#) for more details



### Trade Access & Data Plans Free with minimum commissions

**US Residents:** Access to Alpha Plan is FREE with a monthly minimum commission of \$20. The Silver Real Time data package is Free with a monthly minimum commission of \$150\*

**Non-US Residents:** Access to Alpha Plan is FREE with a monthly minimum commission of \$30. The Silver Real Time data package is Free with a monthly minimum commission of \$150\*

\*For \$150 generated in commission, you will receive a \$20 credit towards your real-time data package.

**Accounts generating commissions less than the minimums in any given month will be assessed the standard fees listed below**

Plan	Product	Data	Exchanges	Fee
Basic	Alpha Plan	Delayed	Nasdaq, AMEX and OPRA	\$8.95
Silver	Alpha Plan w/ Mobile App	Real Time	Nasdaq, AMEX and OPRA	\$20.00
Gold	Alpha Plan w/ Mobile App	Real Time	Nasdaq, AMEX, OPRA and OTCBB	\$26.00
	Real Time Add-On	Real Time	US Level 1 Major Indices (NASDAQ, NYSE and DOW)	\$4.00
	Real Time Add-On	Real Time	NASDAQ Level 2	\$11.00
	Real Time Add-On	Real Time	OTC Level 2	\$11.00



## Additional Notices

**Inactive Accounts:** Due to an increase in clearing and operating costs, as of January, 1st 2017, accounts **not enabled** for web trading access or do not execute a commission generating transaction within a calendar month period, will be assessed an account maintenance fee of \$8.95 a quarter.

**Paper Statements and Confirms** Please be aware the fee for paper trade confirms is \$3.00. The fee for paper monthly and quarterly statements is \$6.00. There remains no fee for electronic statements or trade confirmations.

You have received this e-mail because you currently have an account with a balance at Planner Securities. If you need assistance or have questions in regards to this notice, please feel free to contact us at your convenience at 646-381-7000 or via e-mail at [cs@plannersecurities.com](mailto:cs@plannersecurities.com)

This and all other policy updates can be found by visiting our website [www.plannersecurities.com](http://www.plannersecurities.com)



Brokerage Products and Services offered by Planner Securities, Inc. - Member [FINRA](#) and [SIPC](#).

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Online trading has inherent risk due to system response and access times that may vary due to market conditions, system performance, and other factors. An investor should understand these and additional risks before trading. Carefully consider the investment objectives, risks, charges and expenses before investing. All investments involve risk and losses may exceed the principal invested. Past performance of a security, industry, sector, market, or financial product does not guarantee future results or returns. Planner Securities is a discount broker that provides self-directed investors with brokerage services, and does not make recommendations or offer investment, financial, legal or tax advice.

Options trading involves risk and is not suitable for all investors. Options trading privileges are subject to Planner Securities' review and approval. Please review the [Characteristics and Risks of Standardized Options](#) brochure before you begin trading options.

Investors should consider the investment objectives, risks, and charges and expenses of a mutual fund or ETF carefully before investing. Leveraged and Inverse ETFs may not be suitable for long-term investors and may increase exposure to volatility through the use of leverage, short sales of securities, derivatives and other complex investment strategies. A mutual fund or ETF prospectus contains this and other information and can be obtained by emailing [trading@plannersecurities.com](mailto:trading@plannersecurities.com)

Margin trading involves interest charges and risks, including the potential to lose more than deposited or the need to deposit additional collateral in a falling market. The [Margin Disclosure Statement and Agreement \(PDF\)](#) is available for download, and contains information on our lending policies, interest charges, and the risks associated with margin accounts.

See our [Pricing page](#) for detailed pricing of all security types offered at Planner Securities. All prices listed are subject to change without notice. Any specific securities, or types of securities, used as examples are for demonstration purposes only. None of the information provided should be considered a recommendation or solicitation to invest in, or liquidate, a particular security or type of security.

This is not an offer or solicitation in any jurisdiction where Planner Securities is not authorized to conduct securities transaction.

System response and access times may vary due to market conditions, system performance, and other factors.

Planner Securities LLC Member: FINRA/SIPC | 150 E. 52nd St., 7th Floor, New York, NY 10022  
Phone: 646-381-7000